

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): James M. Minor

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: METHODS AND SYSTEMS FOR EVALUATING AND FOR COMPARING METHODS OF
TESTING TISSUE SAMPLES

COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of **\$0.00**. At any time during the pendency
of this application, please charge any fees required or credit any overpayment to Deposit Account
50-1078 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (*) were
disclosed in Patent Application No. _____ filed _____, now U. S. Patent No.
_____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR
1.98(d).

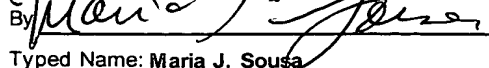
☐ A concise explanation of the relevance of foreign language patents, foreign language publications
and other foreign language information listed on PTO Form 1449, as presently understood by the
individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
attached sheet, or where a foreign language patent is cited in a search report or other action by a
foreign patent office in a counterpart foreign application, an English language version of the search
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO
1449 and is enclosed herewith.

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Date of Deposit **April 9, 2004**

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By


Typed Name: **Maria J. Sousa**

Respectfully submitted,

James M. Minor

By



Alan W. Cannon for G. Stewart

Attorney/Agent for Applicant(s)
Reg. No. **34,977**

Date: **April 9, 2004**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10030215-1

SERIAL NO.

APPLICANT

FILING DATE

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6,171,797	01/09/2001	Michel G. M. Perbost
		6,180,351	01/30/2001	Herbert F. Cattell
		6,221,583	04/24/2001	Jon Faiz Kayyem, et al.
		6,222,664	04/24/2001	Andreas N. Dorsel
		6,232,072	05/15/2001	William D. Fisher
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		6,251,685	06/26/2001	Andreas N. Dorsel, et al.
		6,320,196	11/20/2001	Andreas N. Dorsel, et al.
		6,323,043	11/27/2001	Michael P. Caren, et al.
		6,355,921	03/12/2002	Kenneth L. Staton, et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	Bittner et al. Molecular classification of cutaneous malignant melanoma by gene expression profiling. (pp.536-540), Nature Vol.406 August 3, 2000.
	Donna K. Slonim. From patterns to pathways: gene expression data analysis comes of age. pp.(502-508), Nature genetics supplement. volume 32. December 2002.
	Luo et al. Human Prostate Cancer and Benign Prostatic Hyperplasia: Molecular Dissection by Gene Expression Profiling. (pp.4683-4688), Cancer research 61, June 15, 2001,

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6,371,370	04/16/2002	John W. Sadler, et al.
		6,406,849	06/18/2002	Andreas N. Dorsel, et al.
		6,486,457	11/26/2002	Andreas N. Dorsel, et al.
		6,518,556	02/11/2003	Kenneth L. Staton, et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

		Tseng et al. Issues in cDNA microarray analysis: quality filtering, channel normalization, models of variations and assessment of gene effects. (pp.2549-2557), Nucleic Acids Research, 2001, Vol.29, No.12.

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)